



Incoherent phonon population and exciton-exciton annihilation dynamics in monolayer WS₂ revealed by time-resolved Resonance Raman scattering

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Abstract: Atomically thin layer transition metal dichalcogenides have been intensively investigated for their rich optical properties and potential applications on nano-electronics. In this work, we study the incoherent phonon and exciton population dynamics in monolayer WS₂ by time-resolved Resonance Raman scattering spectroscopy. Upon excitation of the exciton transition, both Stokes and anti-Stokes scattering strength of the optical and the longitudinal acoustic two phonon modes exhibit large reduction. Based on the assumption of quasi-equilibrium distribution, the hidden phonon population dynamics is retrieved, which shows an instant build-up and a relaxation lifetime of ~ 4 ps at the exciton density $\sim 10^{12}$ cm⁻². A phonon temperature rises of ~ 20 K was identified due to the exciton excitation and relaxation. The exciton relaxation dynamics extracted from the transient vibrational Raman response shows strong excitation density dependence, signaling an important bi-molecular contribution to the decay. These results provide significant knowledge on the thermal dynamics after optical excitation, enhance the understanding of the fundamental exciton dynamics in two-dimensional transition metal materials, and demonstrate that time-resolved Resonance Raman scattering spectroscopy is a powerful method for exploring quasi-particle dynamics in optical materials.

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1. Introduction

While the rise of graphene materials [1] and their researches are still booming, various other atomically thin materials have emerged, sparking a novel and fascinating field of research [2,3]. Among them, monolayer transition metal dichalcogenides (TMDCs) play an important role, arising from the intriguing physical properties rooted in their reduced dimensionality and lack of inversion symmetry. TMDCs are regarded by many as a new generation of functional materials with a strong potential for applications in optoelectronic devices [4–9].

One of the significant differences between monolayer TMDCs and graphene is that the former shows an intrinsic bandgap, with transition energies ranging from visible to near infrared frequencies. Rather than free carrier excitations, the lowest energy electronic excitations are strongly bound electron-hole pairs, i.e. excitons with large binding energies up to a few hundred meV [10–13]. These excitonic transitions provide an ideal platform for the study of exciton properties and the related optical induced relaxation dynamics in two dimensional semiconductors. This is not only of strong interest from a fundamental materials science point of view, but is

also pivotal in eventually realizing the application potential of TMDCs. Not surprisingly, the properties induced by optical excitation and the associated relaxation dynamics in TMDCs have been extensively studied in the recent past with various ultrafast spectroscopic techniques [14–25]. These studies revealed a number of interesting properties, including a giant optically induced band gap renormalization [19,20,25] leading to a pronounced spectral dynamics, a strong valley-selective optical Stark effect [26–28], which is of interest in view of optical control in possible valleytronics applications, and a strong material and excitation dependence of the exciton relaxation dynamics showing timescales ranging from tens to hundreds of picoseconds originating from both first and second order relaxation processes [16,18,21,22,24].

Whereas previous ultrafast spectroscopic studies on monolayer TMDCs mainly focused on the electronic excitation aspects, the lattice and thus the phonon population dynamics, which play a crucial role in the energy dissipation after optical excitation, have not yet been investigated in a direct manner [29]. Time-resolved Resonance Raman spectroscopy (TRSRS) can provide a direct access to study both the incoherent phonon relaxation as well as the electron-phonon coupling dynamics [30–34]. Recently we have demonstrated that not only the phonons but also the exciton population dynamics can be detected by monitoring the optical induced differential Stokes and anti-Stokes Raman signals in one-dimensional graphene nanoribbons [35]. Especially, under a strongly resonant condition, the exciton dynamics can be directly obtained from the differential Stokes signal due to its relatively weak dependence on the induced changes in the phonon population. Here we report on an investigation of the phonon creation and relaxation dynamics as well as the exciton relaxation and annihilation mechanisms in monolayer WS₂ using TRSRS. The observed fast phonon population and depopulation dynamics show an efficient electron-lattice and phonon-phonon coupling leading to fast initial energy dissipation after optical excitation. The observed exciton relaxation time depends strongly on the intensity of the initial excitation, demonstrating that exciton-exciton annihilation contributes strongly to the exciton relaxation dynamics in monolayer WS₂.

2. Experimental methods

Monolayer WS₂ samples were produced through chemical vapour deposition (CVD) [36,37] on a thick oxide coated (280 nm) silicon substrate. The sample was characterized using atomic force microscopy (AFM), steady state photoluminescence and Raman spectroscopy. The steady state Raman and photoluminescence spectra were recorded using a micro-Raman setup equipped with a triple stage spectrometer (Spectroscopy & Imaging GmbH) and liquid nitrogen cooled (–120 °C) CCD detector (PyLoN 100; Princeton Instruments). A picosecond laser (515 nm, ~ 2ps) was used for the standard Raman and luminescence measurements. The 515 nm laser pulse was spectrally cleaned and narrowed (full width a half maximum (FWHM) ~10 cm⁻¹) using a home build pulse shaper. The laser pulses were focused on the sample using microscope objective (20×, NA = 0.4). Raman and photoluminescence signals of WS₂ were collected in a backscattering geometry.

Details of the TRSRS technique used here and related data analysis methods have been described elsewhere [38]. Briefly, an integrated ultrafast laser system (Light Conversion PHAROS) with two outputs of the fundamental pulses (300 fs and 150 ps, @1030 nm) pump two optical parametric amplifiers (Light Conversion), one to generate laser pulses for selective excitation (~300 fs), and one to generate a narrow-bandwidth laser pulse for Raman probing (~2 ps). For excitation of the WS₂ sample, the pump pulse wavelength was centered at ~ 620 nm (2.0 eV), corresponding to the direct A exciton resonance. The Raman probe pulse was set at 515 nm (2.4 eV), in resonance with the B excitonic transition [12]. This configuration of pump and probe yields a strong resonant Raman probing signal and a direct excitation of excitons with only a small amount of excess energy. In order to minimize the background scattering induced by the relatively strong pump

pulse, a crossed linear polarization of pump and probe was used, while detecting the Raman signal polarized parallel to the probe pulse.

3. Results and discussion

The inset of Fig. 1(a) shows an optical image of the triangular crystalline monolayer WS₂ sample. The main panel 1(a) presents an AFM line scan along the dashed line in the inset image, showing the for a monolayer expected thickness of roughly 0.8 nm [39]. The monolayer quality of the sample was further confirmed by the steady state photoluminescence (PL) and Raman spectra presented in Fig. 1(b) and 1(c), respectively. The PL spectrum has a peak located around 1.97 eV, corresponding to the excitonic A transition of crystalline single layer WS₂ [39,40]. The Raman spectrum shows a strong response at 350 cm⁻¹, which is generally considered to be contributed by a mixture of E_{2g} and 2LA modes, however under the Raman probing wavelength at ~515 nm, the 2LA signal dominates [41,42]. A relatively weaker peak at 415 cm⁻¹ corresponding to the A_{1g} mode originating from the out-of-plane vibration of sulfur atoms [43–45]. The peak located at 520 cm⁻¹ is due to the underlying silica/silicon substrate. The peak positions and the relative ratio of peak intensities are in good agreement with previously reported Raman spectra of monolayer WS₂ on silica/silicon substrate [42,46].

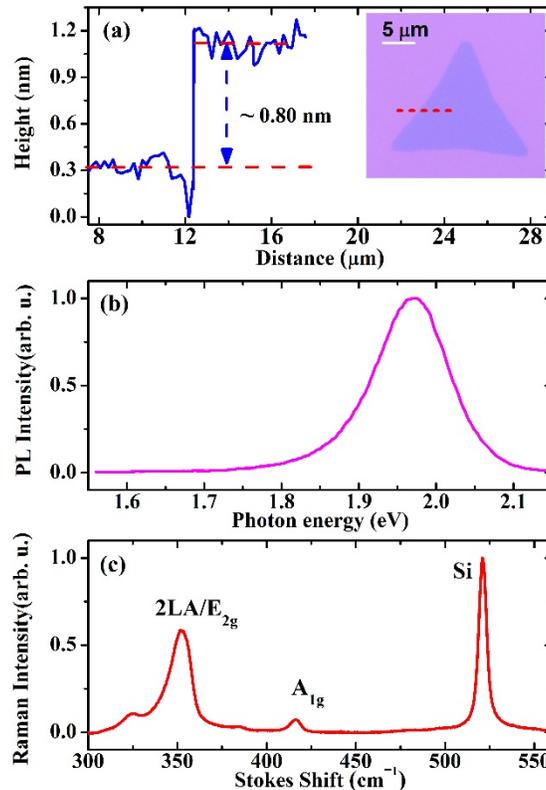


Fig. 1. Steady state spectra characterization of the CVD grown monolayer WS₂ sample on silica/silicon substrate. (a) Atomic force microscope (AFM) measurement the thickness of monolayer WS₂, inset show the optical image and AFM scanning range (dashed red line). (b) Photoluminescence. (c) Steady Raman scattering.

Time-resolved Resonance Raman scattering spectra of monolayer WS₂ are presented in Fig. 2. In Fig. 2(a), it shows the transient Stokes and anti-Stokes spectra for various pump-probe delay

times using an initial photo-excited exciton density around $2.26 \times 10^{12} \text{ cm}^{-2}$, whereas in Fig. 2(b) it shows the derived difference spectra obtained by subtracting the response at -5 ps . From these spectra it is clear that the optical pump induces a significant reduction of the scattering efficiency of all phonon modes, without any spectral dynamics of broadening or shifting, which recovers on a time scale of tens of ps. As we have discussed previously for the resonant Raman scattering case [35] the induced transient changes may originate both from changes in the resonant enhancement due to electronic population effects (typically leading to a reduction of the response) as well as from changes in the vibrational population (typically leading to an increase of the response). Normally semiconductors show an induced increase of the anti-Stokes Raman signals [30,31,33–35,47,48] assigned to a dominated contribution of optical phonon populations, and an increase or decrease of the Stokes scattering depending on resonance conditions. For monolayer WS_2 , however, this is not the case. Here both Stokes and anti-Stokes scattering show a transient decrease. This indicates the optically induced phonon population contribution, which would give an enhanced Raman response, is extremely small, and the transient changes in the Raman response is primarily due to state filling effects which suppress the Resonance behavior of the response.

To obtain a better view on the detailed relaxation dynamics of the optical phonon scattering, the strongest signal from $2\text{LA}/E_{2g}$ phonon peak at $\sim 350 \text{ cm}^{-1}$ was spectrally integrated (red bar indicated area in Fig. 2(a) for different delay times. The obtained decay dynamics are presented in Fig. 3 for the Stokes 3(a) and anti-Stokes 3(b) responses. Though both anti-Stokes and Stokes sides show a transient reduction with a recovery time of some 10s of ps, the detailed transient response is substantially different, in particular at early times. The origin of this difference is the (positive) contribution of the phonon population to the response, in particular for the anti-Stokes signal. In order to retrieve the phonon population dynamics and lattice temperature, we assume the signal $\sim 350 \text{ cm}^{-1}$ are mostly contributed by the dominated 2LA modes, ignoring the smaller part of E_{2g} , and after excitation quasi-equilibrium between electron-phonon are immediately (in less than 1 ps) built. For Stokes side, the Raman signal (I_S) of 2LA phonon can be expressed (see Appendix A) as:

$$I_S \propto 2(1 + n)^2, \quad (1)$$

where

$$n = 1/[\exp(h\Omega/k_B T) - 1], \quad (2)$$

is the statistically averaged phonon population number. And in Eq. (2) h is Planck constant, Ω is the optical phonon frequency, k_B is the Boltzmann constant and T is the phonon temperature. Similarly, anti-Stokes side (I_{AS}) Raman signal of two phonon transition can be obtained (see Appendix A) as:

$$I_{AS} \propto 2n^2 \quad (3)$$

We further make the assumption that before time zero, the phonon temperature is close to the Room temperature (300 K) and the two phonon transition Raman polarizability matrix elements is not changing after excitation. These assumption are reasonable in view of the low Raman probe laser pulse fluence, and the observation that there are no significant differences between pre-zero delay time intensities measured with and without the presence of the pump laser beam. By using Eqs. (1)–(3) and above assumption, time dependent phonon temperature can be readily extracted by using the measured Stokes and anti-Stokes signals.

The transient phonon temperature determined using Eqs. (1)–(3) presented in Fig. 3(c) shows an instantaneous (within our time resolution) increase by about 22 K, corresponding to a transient increase of the LA phonon population. The increased phonon relaxes with a decay time of 4.3 ps to a long lived quasi equilibrium state with a temperature of about 3 K above base temperature, which further relaxes to the base temperature outside our detection time window but within the time between two pump pulses (10 μs). Similarly, the one phonon temperature from the

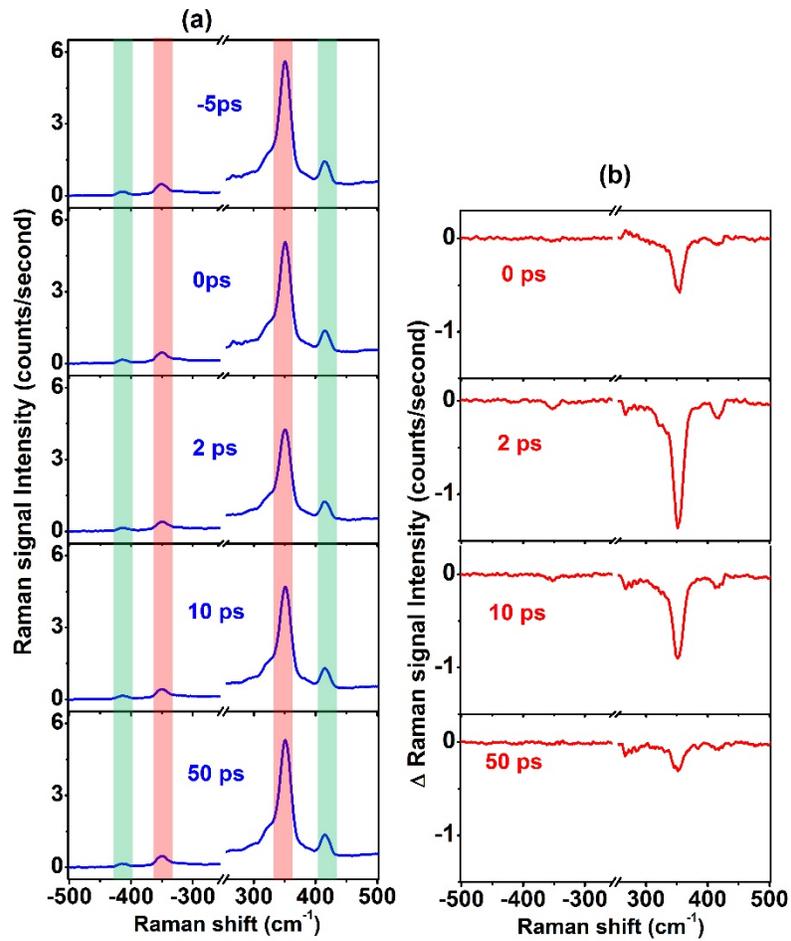


Fig. 2. Time-resolved Resonance Raman scattering spectra of the monolayer WS₂ on silica/silicon recorded on both Stokes and anti-Stokes sides simultaneously. (a) Raman scattering intensity spectra at different delay times after optical pump at 2.0 eV (620 nm). (b) Pump induced difference spectra obtained by subtraction the spectrum at -5 ps from each spectrum in (a) at different corresponding delay times.

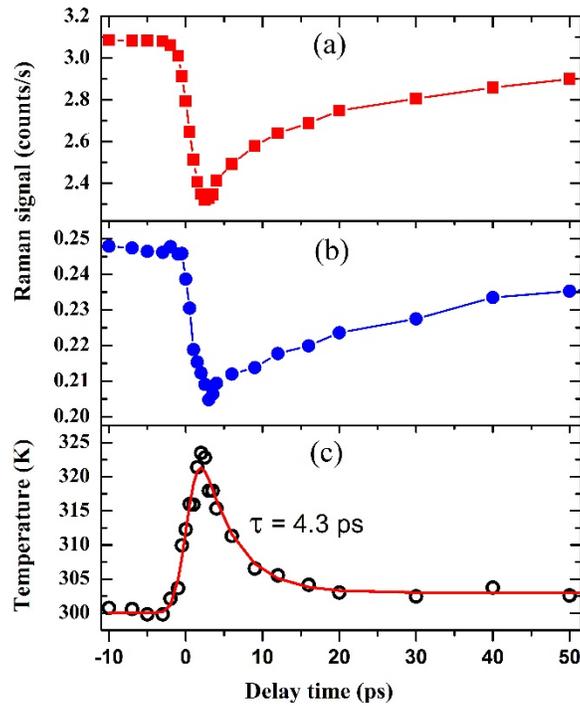


Fig. 3. Decay dynamics of the optical phonon peak at around 350 cm^{-1} at the exciton density around $2.26 \times 10^{12}\text{ cm}^{-2}$. (a) Stokes side dynamics integrated in the spectral region from 338 to 362 cm^{-1} . (b) Anti-Stokes side dynamics integrated in the spectral region from -362 to -338 cm^{-1} . (c) Calculated temperature of the optical phonon according to Bose-Einstein statistics.

A_{1g} modes at $\sim 415\text{ cm}^{-1}$ (green bar indicated area in Fig. 2(a) can be calculated by using the corresponding equations for the one phonon case, and similar temperature and decay dynamics were obtained (see Appendix B) in the error range of our experiments.

The observation of the fast ($< 2\text{ ps}$) rise in phonon temperature after excitation suggests that phonon populations are created through a fast exciton-phonon scattering process. This can be either through release of the excess energy of the optically excited exciton states, or through transitions from the optically excited bright exciton states into optically inactive dark exciton states. In monolayer WS_2 the dark exciton state is formed by the electrons in the conduction band and holes in the valence band with opposite spins, and the energy of this dark exciton state is slightly lower than the bright exciton state [49–53]. Since relaxation from the bright to the dark exciton state requires a spin flip of the electrons, this process [54] is expected to be slower than the exciton cooling process but cannot be excluded on the basis of the current experiments. The relaxation of the 2LA and A_{1g} phonon population ($\sim 4\text{ ps}$) can be ascribed to their scattering into lower acoustic phonon modes in the monolayer sample.

Apart from information on the phonon population dynamics and lattice temperature, one can straightforwardly derive the exciton population dynamics from the resonant TRSRS experiment. This is done by analyzing the time resolved optical phonon Stokes scattering signal, which is hardly influenced by the minor changes in total phonon population induced by the pump pulse. [35,38] The observed transient changes in the Stokes response can be ascribed to changes in the resonance enhancement due to ground state bleaching/excited state filling by the pump pulse, i.e. due to a transient reduction of the optical transition probability. The 10s of ps recovery time

of the phonon signals on Stokes side is therefore assigned to relaxation of the excited excitonic states. In order to get a better insight into the exciton relaxation dynamics we performed a set of experiments on Stokes side for varying initial exciton densities. Figure 4a shows the integrated 2LA mode Stokes side signal for different excitation densities. The data show a clear speed up of the recovery dynamics upon increasing excitation density, indicating that many body annihilation processes play a role in the decay dynamics.

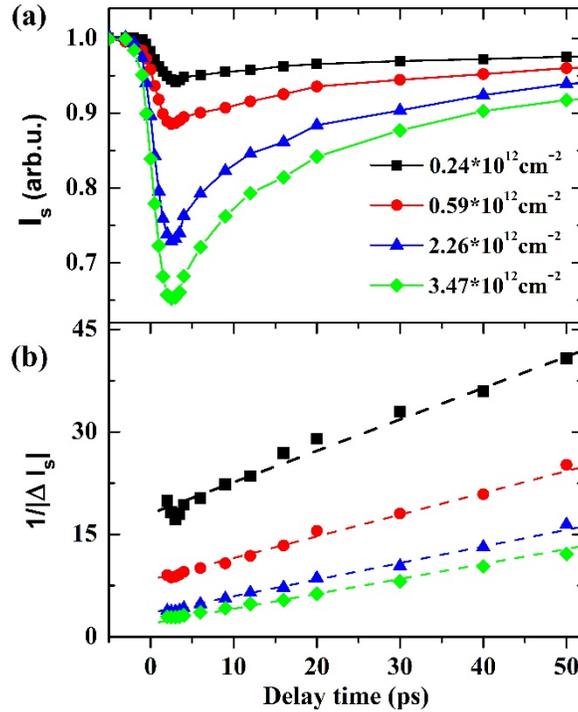


Fig. 4. Intensity dependence of the dynamics observed on Stokes side. (a) Decay dynamics of the 2LA phonon peak at around 350 cm^{-1} at different exciton density. (b) Inversion of the decay dynamics (dots) from (a) and the global fitted ones (dashed lines) with rate equation including both the first and second order exciton annihilation reaction (details see text in the paper). The global fitting extracted rate constants of $k_1 \sim (67 \text{ ps})^{-1}$ and $k_2 \sim 0.104 \text{ cm}^2 \text{ s}^{-1}$.

To analyse the observed phenomena, we model the dynamics by including both a first order free exciton decay process and a second order relaxation process, i.e., exciton-exciton annihilation. The population decay can then be expressed as:

$$dN(t)/dt = -k_1 N(t) - k_2 N^2(t), \quad (4)$$

where $N(t)$ is the time dependent exciton population density, and k_1 (k_2) is the first (second) order rate constant. Analytically solving Eq. (4) gives

$$1/N(t) = [1/N(0) + k_2/k_1] \exp(k_1 t) - k_2/k_1, \quad (5)$$

in which $N(0)$ is the initially excited exciton density. Since the effective lifetime from the first order reaction is usually much longer than that from the second order one, to simplify the

description, in short time range, we expand $\exp(k_1 t)$ to $1 + k_1 t$ and Eq. (5) becomes:

$$1/N(t) \approx 1/N(0) + [k_1/N(0) + k_2]t \quad (6)$$

Expression Eq. (6) gives a very compact and intuitive description for the observed signals: it indicates that the inverse of the population should be simply linearly proportional to the delay time t , while the slope is excitation density dependent. Indeed, as shown in Fig. 4(b), this is exactly the case as indicated by the inverse of the differential Raman signals. Global fitting (dashed lines) of the data (symbols) using Expression Eq. (6) yields satisfactory agreement and a first order rate constant $k_1 = (67 \text{ ps})^{-1}$ and second order $k_2 = 0.104 \text{ cm}^2\text{s}^{-1}$. These values are comparable to those reported for other measurements on CVD grown monolayer WS_2 samples [21,22], confirming the importance of an efficient exciton-exciton annihilation process in monolayer WS_2 . The slight difference of the rate constants observed here and those in previous experiments on CVD grown samples are most likely due to variations in defect densities. In particular we note that the values reported for CVD grown samples differ substantially from those measured on exfoliated samples. In exfoliated monolayer WS_2 , the first order exciton decay rate constant was measured to be around $(806 \text{ ps})^{-1}$, one order slower, while the exciton-exciton annihilation rate k_2 was around $0.41 \text{ cm}^2\text{s}^{-1}$, 4 times larger [18]. Since the defect density ($\sim 3 \times 10^{13} \text{ cm}^{-2}$) [55] in a usual CVD grown samples is usually much higher than that in exfoliated samples ($\sim 2 \times 10^9 \text{ cm}^{-2}$) [56], a huge difference which could strongly influences the diffusion of excitons [57] and thus affects both the first and second order decay processes, leading to a larger k_1 due to defect assisted recombination [58,59] and a smaller k_2 due to slower diffusion in CVD grown WS_2 . These results suggest that the quantum efficiency of light emission and thermal effects caused by strong excitation conditions may be optimized for optical device applications by manipulating the defects density in the fabrication process of TMDCs.

4. Conclusions

In conclusion we have investigated the relaxation dynamics induced by optical excitation of both the phonons and excitons in the CVD grown monolayer WS_2 using TRSRS spectroscopy. The population of the optical and 2LA phonon show a very fast ($< 2 \text{ ps}$) increase due to exciton-phonon coupling after optically inducing an exciton population. The higher frequency phonon population decays with time constant of around 4 ps through phonon-phonon scattering. The exciton relaxation and annihilation dynamics, as observed through the time dependent Stokes optical phonon signals show the presence of both first and second order decay processes. Specific modelling of the observed dynamics obtained the first order and the second order exciton decay rates which are consistent with those obtained by other methods for CVD grown samples, but differ substantially from those for exfoliated samples due to the higher defect density. The present results provide a direct insight into both the excitonic and vibration energy dissipation properties of TMDC materials which has relevance to potential electro-optical TMDC applications, in particular under strong optical or electronic excitation conditions.

Appendix A: Two phonon transition in Raman scattering

To simplify the description and get intuitive into the two phonon scattering process, we use semi-classic theory of Raman scattering, by considering Raman scattering signal as originating from a change in local polarizability due to the presence of vibrational modes in the system.

For an applied electromagnetic field $E(\omega)$, a polarization is induced :

$$P(\omega) = \chi E(\omega) \quad (7)$$

which acts as a source of the scattering light emission. Here χ is the macroscopic electronic tensor. Grafting into quantum mechanics, it is the transition probability square which determines

the Raman signal (I^R),

$$I^R \propto |\langle f | \chi | i \rangle|^2 \quad (8)$$

In which $|i\rangle$ and $|f\rangle$ are the total initial and final state of the system. Considering only one normal coordinates of the phonon (Q_k), expanding χ as:

$$\chi = \chi_0 + (d\chi/dQ_k)_0 Q_k + (d^2\chi/dQ_k^2)_0 Q_k^2 + \dots \quad (9)$$

Apparently the third term in Eq. (9) gives the two phonon transition of Raman process. Considering random phonon number state v , for Stokes side two phonon Raman scattering process:

$$I_{S}^{2R} \propto |\langle v+2 | Q_k^2 | v \rangle|^2 \propto 2 + 3v + v^2, \quad (10)$$

and for anti-Stokes side

$$I_{AS}^{2R} \propto |\langle v-2 | Q_k^2 | v \rangle|^2 \propto v^2 - v. \quad (11)$$

Next step we further considering the quasi-thermal equilibrium situation, the occupation probability (η_v) of v phonon state in a thermal average is:

$$\eta_v = \exp(-\varepsilon_v/k_B T) / \sum_v \exp(-\varepsilon_v/k_B T) = \exp[-h\Omega(v+1/2)/k_B T] / \sum_v \exp[-h\Omega(v+1/2)/k_B T]. \quad (12)$$

Where h is the Planck constant, k_B is Boltzmann constant and Ω is the phonon frequency.

Thus, in thermal equilibrium,

$$I_{S}^{2R} \propto \sum_v (2 + 3v + v^2) \eta_v \propto 2(1+n)^2, \quad (13)$$

and

$$I_{AS}^{2R} \propto \sum_v (v^2 - v) \eta_v \propto 2n^2 \quad (14)$$

with n defined to be the thermal average phonon number, *i.e.*,

$$n = 1 / [\exp(h\Omega/k_B T) - 1]. \quad (15)$$

From Eqs. (7) and (8) we have:

$$C * I_{S}^{2R} / I_{AS}^{2R} = (1+n)^2 / n^2 = \exp(2h\Omega/k_B T) \quad (16)$$

I_{S}^{2R} and I_{AS}^{2R} are from experimental measurements, therefore, using Eqs. (15) and (16), phonon population and the temperature can be estimated. The pre-factor C in Eq. (16) can be determined by assuming Room temperature (~ 300 K) before time zero, and assume it does not change during all the delay time in experiments.

Appendix B: One phonon transition in Raman scattering

Similarly, one phonon Raman transition can be treated in the same way as above, the results are trivial [60,61] and have been known as:

$$I_{S}^{1R} \propto 1 + n \quad (17)$$

for Stokes side, and

$$I_{AS}^{1R} \propto n \quad (18)$$

for anti-Stokes side.

As for the single phonon mode A_{1g} in WS_2 at around 415 cm^{-1} , the time dependent Stokes and anti-Stokes scattering results and calculated temperature according to Eqs. (17) and (18) are presented in Fig. 5:

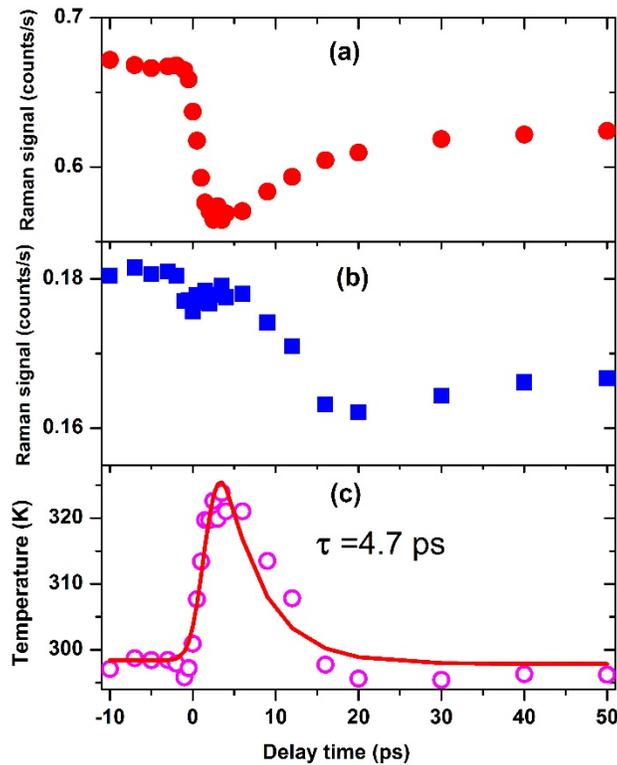


Fig. 5. Decay dynamics of the optical phonon peak at around 415 cm^{-1} at the exciton density around $2.26 \times 10^{12}\text{ cm}^{-2}$. (a) Stokes side dynamics integrated in the spectral region from 400 to 430 cm^{-1} . (b) Anti-Stokes side dynamics integrated in the spectral region from -430 to -400 cm^{-1} . (c) Calculated temperature of the optical phonon according to Bose-Einstein statistics.

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